SEC Form 4 FORI	M 4	UNITI	ED STATE		-		/MIS	SION			
				Washington, D.C. 20549					OMB APPROVAL		
Section 16. Form 4 or Form 5 obligations may continue. See				Department of the securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940				SHIP OMB Number: 3235-0287 Estimated average burden hours per response: 0.5			
1. Name and Address of Reporting Person* ZWARENSTEIN BARRY				2. Issuer Name and Ticker or Trading Symbol <u>Five9, Inc.</u> [FIVN]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) Chief Financial Officer				
(Last) (First) (Middle) C/O FIVE9, INC. BISHOP RANCH 8, 4000 EXECUTIVE PARKWAY, SUITE 400				3. Date of Earliest Transaction (Month/Day/Year) 10/16/2020							
(Street) SAN RAMON,				4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(City)	(State)	(Zip)						Form filed by Mo Person	ore than One Rep	orting	
	т	able I - N	lon-Derivati	ive Securities Ac	quired, Di	sposed of, or Benefi	cially	Owned			
Date			2. Transaction Date (Month/Day/Ye	ar) (Month/Day/Year)	3. Transaction Code (Instr. 8)	Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	

Code v

М

s

s

S

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and

Expiration Date (Month/Day/Year)

Date Exercisable

(5)

5. Number

Derivative

Securities

Acquired

(A) or Disposed

of (D) (Instr. 3, 4

and 5)

(A) (D)

2,984

\$8.13

Common Stock

Common Stock

Common Stock

Common Stock

Conversion or Exercise Price of

Derivative Security

3. Transaction

Date (Month/Day/Year)

10/16/2020

1. Title of

Derivative

Security (Instr. 3)

Employee Stock

Option

(right to buv)

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 26, 2020.

10/16/2020

10/16/2020

10/16/2020

10/16/2020

Transaction Code (Instr. 8)

v

Code

М

3A. Deemed

Execution Date

(Month/Dav/Year)

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$145.66 to \$146.66, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$146.66 to \$147.58, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$147.70 to \$148.41, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

5. The option dated March 9, 2016 is fully vested.

Remarks:

/s/ David Hill, attorney-in-fact 10/20/2020

** Signature of Reporting Person Date

Reported

Transaction(s)

(Instr. 3 and 4)

108,717

105,733

83,939

81.739

8. Price of

Derivative

Security (Instr. 5)

\$<mark>0</mark>

9. Number of

derivative

Securities

Beneficially

Owned Following

Reported

Transaction(s) (Instr. 4)

54,871

(A) or (D)

Α

D

D

D

7. Title and

Amount of Securities Underlying

Title

Commor

Stock

Derivative Security (Instr. 3 and 4)

Amount or Number

of Shares

2,984

Amount

2.984

2.984(1)

7.316(1)

2.200(1)

Expiration Date

03/09/2026

Price

\$8.13

\$146.35(2)

\$147.02(3)

\$148.03(4)

(Instr. 4)

By Trust

By Trust

11. Nature

of Indirect Beneficial

Ownership

(Instr. 4)

D

D

I

Ι

10.

Ownership Form: Direct (D)

or Indirect (I) (Instr. 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.