| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). |
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SAN FRANCISCO CA

(State)

(City)

94111

(Zip)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | | | | | | |
|--------------------------|--|--|--|--|--|--|
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| hours per response: | 0.5 |
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| Lotinated average builden | |

| 1. Name and Address of Reporting Person* <u>Hummer Winblad Equity Partners V, LLC</u> | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Five9, Inc.</u> [FIVN] | | | | | | | | ck all app Dired | | - | X 10% | lssuer Owner · (specify | | | |
|--|--|---|---|--|--|--|--|--|--|--------|--|---|-----------------------------------|----------------------|--|---|---------|-------|--------------------------------|
| (Last) (First) (Middle) PIER 33 SOUTH THE EMBARCADERO, SUITE 300 | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/14/2016 | | | | | | | | | belov | | - | belov | |
| (Street) SAN FRANCISCO CA 94111 (City) (State) (Zip) | | | | 4. If <i>i</i> | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person | | | | | | | |
| | | Tab | e I - No | n-Deriva | ative | Seci | uritie | s Ac | quired | l, Di | sposed o | of, or E | Benef | icially | y Owne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day | | | | y/Year) Execution Date, | | Transaction | | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a 5) | | | and Securities Beneficially Owned Foll Reported | | es ially Following ed | Form (D) o | wnership n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | | Code | v | Amount | (A) (D) | or Pr | ice | Transac (Instr. 3 | tion(s) and 4) | | | | |
| Common | Stock | | | | | | | | | | | | | | 52,173 | | | I | See Footnote ⁽¹⁾ |
| Common | Stock | | | 03/14/2 | 2016 | | | | J ⁽²⁾ | | 450,000 | | \$ | \$0.00 7,560,041 | | 50,041 | 0,041 I | | See Footnote ⁽³⁾ |
| | | Ta | | | | | | | | | osed of, convertib | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security3. Transaction Date (Month/Day/Year)3. Deemed Execution Date, if any (Month/Day/Year)4. Transaction Code (Instr. 8)5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)6. Date Exercisable and Expiration Date (Month/Day/Year)7. Title and Amount of Securities Underlying Derivative Securities | | nt of ties lying tive ty (Insti | De Se (In | 8. Price of Derivative Security Instr. 5) Beneficia Owned Following Reported Transacti (Instr. 4) | | e Ownershi 5 Form: Ily Direct (D) or Indirec 9 (I) (Instr. 4 | | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | | | | | | | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | Amou or Numb of Share | er | | | | | |
| | | Reporting Person [*] I <u>d Equity Part</u> | <u>ners V</u> , | LLC | | | | | | | | | | | | | | | |
| (Last) PIER 33 THE EM | | (First) ERO, SUITE 300 | (Mid | dle) | | | | | | | | | | | | | | | |
| (Street) SAN FR. | ANCISCO | СА | 941 | 11 | | | | | | | | | | | | | | | |
| (City) | | (State) | (Zip) |) | | | | | | | | | | | | | | | |
| | | Reporting Person [*] IBLAD VEN [*] | <u>FURE</u>] | <u>PARTN</u> | IERS | | | | | | | | | | | | | | |
| (Last) PIER 33 THE EM | | (First) ERO, SUITE 300 | (Mid | dle) | | | | | | | | | | | | | | | |
| (Street) | | | | | | - | | | | | | | | | | | | | |

| 1. Name and Address o HUMMER WIN V A LP | f Reporting Person [*] NBLAD VENTU | <u>RE PARTNERS</u> |
|---|--|--------------------|
| (Last) | (First) | (Middle) |
| PIER 33 SOUTH | | |
| THE EMBARCAD | ERO, SUITE 300 | |
| (Street) SAN FRANCISCO | СА | 94111 |
| (City) | (State) | (Zip) |
| 1. Name and Address o Hummer John | f Reporting Person [*] | |
| (Last) | (First) | (Middle) |
| PIER 33 SOUTH | | |
| THE EMBARCAD | ERO, SUITE 300 | |
| (Street) SAN FRANCISCO | CA | 94111 |
| (City) | (State) | (Zip) |
| 1. Name and Address o WINBLAD AN | | |
| (Last) | (First) | (Middle) |
| PIER 33 SOUTH | | |
| THE EMBARCAD | ERO, SUITE 300 | |
| (Street) SAN FRANCISCO | CA | 94111 |
| (City) | (State) | (Zip) |

Explanation of Responses:

1. The reported shares are owned directly by Mitchell Kertzman, who is a managing member of Hummer Winblad Equity Partners V, L.L.C. ("HWEP V"), which is the general partner of Hummer Winblad Venture Partners V, L.P. ("HWVP V") and Hummer Winblad Venture Partners V-A, L.P. ("HWVP VA"). By agreement with HWEP V, Mr. Kertzman holds the shares for the benefit of HWEP V. Mr. Kertzman disclaims beneficial ownership of the shares except to the extent of his pecuniary interest therein.

2. HWVP V distributed for no consideration an aggregate of 450,000 shares of Common Stock of the Issuer to its limited partners on a pro rata basis.

3. The reported shares are held directly by HWVP V as nominee for HWVP V and HWVP VA. John Hummer, Ann Winblad and Mitchell Kertzman are the managing members of HWEP V, which is the general partner of HWVP V and HWVP VA. HWEP V, Mr. Hummer, Ms. Winblad and Mr. Kertzman disclaim beneficial ownership of all securities held by HWVP V, except to the extent of their pecuniary interests therein.

Remarks:

This Form 4 is one of two Form 4s filed on the date hereof reporting this transaction. The Reporting Person for the other Form 4 is Mitchell Kertzman.

| HUMMER WINBLAD EQUITY PARTNERS, L.L.C., By: /s/ Ingrid Chiavacci, Ingrid Chiavacci, attorney-in-fact | <u>03/15/2016</u> |
|--|-------------------|
| HUMMER WINBLAD VENTURE PARTNERS V, L.P., By: Hummer Winblad Equity Partners, L.L.C., Its General Partner, By: /s/ Ingrid Chiavacci, Ingrid Chiavicci, attorney-in-fact | <u>03/15/2016</u> |
| HUMMER WINBLAD VENTURE PARTNERS V-A, L.P., By: Hummer Winblad Equity Partners V, L.L.C., Its General Partner, By: /s/ Ingrid Chiavicci, Ingrid Chiavacci, attorney-in-fact | <u>03/15/2016</u> |
| JOHN HUMMER, By: /s/ Ingrid Chiavacci, Ingrid Chiavicci, attorney-in-fact | <u>03/15/2016</u> |
| ANN L WINBLAD, By: /s/ Ingrid Chiavicci, Ingrid Chiavacci, attorney-in-fact | <u>03/15/2016</u> |
| ** Signature of Reporting Person | Date |

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.