FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| gton, D.C. 20549 | OMB APPROVAL |
|------------------|--------------|
| | |

| OMB Number: | 3235-0287 | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| haura nar raananaa. | 0.5 | | | | | | | |

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* To the Pool of the Poo | | | | | | 2. Issuer Name and Ticker or Trading Symbol Five9, Inc. [FIVN] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
|---|--|---------|---------|----------|-------|--|------------|--|-----------------|-------|-------------------------|--|----------------|--|---|--|---|---|---------------------|--|--|--|
| <u>Trollope Rowan M</u> | | | | | | [11707, 1110. [11717] | | | | | | | | | X | Direc | tor | 10 | % Owner | | | |
| (Last) (First) (Middle) | | | | | | Date of Earliest Transaction (Month/Day/Year) | | | | | | | | | X Officer (give title below) | | | | ner (specify ow) | | | |
| C/O FIVE9, INC., BISHOP RANCH 8 | | | | | | | 01/07/2020 | | | | | | | | | Chief Executive Officer | | | | | | |
| 4000 EXECUTIVE PARKWAY, #400 | | | | | | | | | | | | | | | | | | | | | | |
| (Street) | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | |
| SAN RA | MON C | A 9 | 94583 | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | | | |
| | | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | | | | |
| (City) | (S | tate) (| Zip) | | | | | | | | | | | | | | | | | | | |
| | | Tabl | e I - N | on-Deriv | ative | Sec | uritie | s Ac | quire | d, Di | sposed o | f, or | Ben | eficia | ally (| Owne | ed | | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/ | | | | | | Execution Date, | | Date, | | | | s Acquired (A) or of (D) (Instr. 3, 4 a | | | and 5) Secu Bene | | icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | of Indirect | | | |
| | | | | | | Code | v | Amount | (A) (D) | or | Price | | Transa | action(s) 3 and 4) | | (msu. 4) | | | | | | |
| Common Stock 01/07/20 | | | | | | 020 | | | S | | 12,600 ⁽¹⁾ D | |) | \$68.2 | 22 ⁽²⁾ 33 | | 34,095 | D | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | erivative Conversion Date Execution Date, ecurity or Exercise (Month/Day/Year) if any | | | | | Transaction Code (Instr. | | lumber 6. Date Exer Expiration D (Month/Day/urities puired or posed D) tr. 3, 4 5) | | | ate Amount of | | | 8. Pric Deriva Securi (Instr. | | 9. Number of derivative Securities Beneficially Owned Following Reported Transactions (Instr. 4) | Ownersi Form: Direct (E or Indire (I) (Instr. | Beneficial Ownership (Instr. 4) | | | | |
| | | | | | Code | v | (A) | (D) | Date Exercis | sable | Expiration Date | Title | or Nu of | nount mber ares | | | | | | | | |

Explanation of Responses:

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 11, 2019
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$67.87 to \$68.75, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

/s/ David Hill, attorney in fact 01/08/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.