## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-028

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|   | hours per response:     | 0.5       |
| l | Estimated average burde | en        |
| I |                         | 3235-0207 |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Burkland Daniel P.                  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Five9, Inc.</u> [ FIVN ] |                        | tionship of Reporting P<br>all applicable)<br>Director                              | 10% Owner                        |  |
|---|--|--|---|------------------------|---|----------------------------------|--|
|   |  |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/23/2017                    | X                      | Officer (give title<br>below)<br>See Rem  | Other (specify<br>below)<br>arks |  |
| 4000 EXECUTIVE PARKWAY, SUITE 400<br>(Street)<br>SAN RAMON CA 94583<br>(City) (State) (Zip) |  |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                          | 6. Indiv<br>Line)<br>X | idual or Joint/Group Fil<br>Form filed by One Re<br>Form filed by More th<br>Person | eporting Person                  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Instr. |   | 4. Securities /<br>Disposed Of (<br>5) |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|--------------|---|--|---------------|-------------------|---|---|---|
|                                 |  |   | Code         | v | Amount                                 | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (iiisti: 4)   |
| Common Stock                    | 02/23/2017                                 |   | Α            |   | 26,923                                 | Α             | \$ <mark>0</mark> | 184,542   | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) (Instr.<br>3, 4 and 5) |     |                     | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|-----|---------------------|---|-----------------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$16.25   | 02/23/2017                                 |   | A                            |   | 55,537   |     | (1)                 | 02/23/2027  | Common<br>Stock | 55,537  | \$0  | 55,537   | D  |  |

Explanation of Responses:

1. The option vests in 48 equal monthly installments beginning on the one month anniversary of February 23, 2017.

#### Remarks:

Executive Vice President of Global Sales and Services

#### /s/ David Hill, attorney-in-fact 02/27/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.