FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
	OMB Number:	3235-0287								
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  ZWARENSTEIN BARRY  (Last) (First) (Middle)  C/O FIVE9, INC.				2. Issuer Name and Ticker or Trading Symbol Five9, Inc. [FIVN]  3. Date of Earliest Transaction (Month/Day/Year) 10/18/2021							(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  X Officer (give title below) Chief Financial Officer					
3001 BISHOP DR., STE. #350 (Street) SAN RAMON, CA	94583		4.	4. If Amendment, Date of				te of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicat Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				
(City) (State)	(Zip)																
	Table I - I	Non-Deri	ivativ	e Sec	curit	ies Ac	quire	d, Di	sposed o	f, or Be	neficial	ly Owned					
1. Title of Security (Instr. 3)		Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a			5. Amou Securition Benefici Owned I Reporte	es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				`	
Common Stock			/2021				M		12,500	A	\$16.25	87,3	397(1)		D		
Common Stock			/18/2021				S		2,000(2)	D	\$153.78	(3) 85	,397	D			
Common Stock	10/18/2021				S		2,800(2)	D	\$154.84	.(4) 82	,597	D					
Common Stock	10/18/	10/18/2021				S		3,300(2)	D	\$155.77	79	,297		D			
Common Stock			0/18/2021				S		1,100(2)	D	\$157.04	.(6) 78	,197	D			
Common Stock			10/18/2021		1		S		3,200(2)	D	\$157.95	7.95 <sup>(7)</sup> 74,997		D			
Common Stock			10/18/2021				S		100(2)	D	\$158.8	7 74	,897	D			
Common Stock												98	98,819		I	By Trust	
	Table						,		posed of, convertil		,	Owned			,		
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security	Execut	emed ion Date, /Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/\)		ate	of Securit Underlyin	g e Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	e s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amount or Number of Shares						
Employee Stock Option (right to buy) \$16.25 10/18/202	1		M			12,500	(8)		02/23/2027	Common Stock	12,500	\$0	40,278	8	D		

## **Explanation of Responses:**

- 1. Since the last report filed on Sept. 20, 2021, 3,670 previously reported performance-based RSUs were cancelled for no value and therefore are excluded from the latest reported total.
- 2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 2, 2021.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$153.33 to \$154.33, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$154.34 to \$155.34, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$155.41 to \$156.41, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$156.57 to \$157.57, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges
- 7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$157.58 to \$158.57, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote
- 8. The option granted on February 23, 2017 is fully vested.

## Remarks:

10/19/2021 <u>/s/ David Hill, attorney-in-fact</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.