SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287						
Estimated average burden						
hours per response:	0.5					

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934						
			or Section 30(h) of the Investment Company Act of 1940					
	1 Name and Address of Reporting Person	*	2. Issuer Name and Ticker or Trading Symbol	5. Relations				

1. Name and Address of Reporting Person [*] Mansharamani Leena		on*	2. Issuer Name and Ticker or Trading Symbol <u>Five9, Inc.</u> [FIVN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) C/O FIVE9, IN	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/05/2023		Officer (give title below) SVP, Chief Accountin	Other (specify below) ng Officer		
3001 BISHOP DR., STE. #350			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) SAN RAMON	CA	94583		X	Form filed by One Repor Form filed by More than Person	0		
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication					
			X Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See	ract, instruction or written plan n 10.	that is intended to			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/05/2023		S		692 ⁽¹⁾⁽²⁾	D	\$70.72 ⁽³⁾	35,073	D	
Common Stock	09/05/2023		S		908(1)	D	\$71.2 ⁽⁴⁾	34,165	D	
Common Stock	09/06/2023		S		332 ⁽²⁾	D	\$71.56	33,833	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10. Transaction Code (Instr. Conversion Execution Date. Expiration Date (Month/Day/Year) Ownership Derivative Date Amount of Derivative derivative of Indirect of Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any Derivative Securities Security Securities Form: Beneficial (Month/Day/Year) 8) Securities Acquired Underlying (Instr. 5) Beneficially Direct (D) Ownership Derivative Derivative Owned or Indirect (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Following Reported Security (I) (Instr. 4) of (D) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount

Date

Exercisable

Explanation of Responses:

1. The reported sales were to cover taxes upon the vesting of restricted stock units.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 31, 2022.

Code

v

(A) (D)

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$70.66 to \$70.74, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$71.01 to \$71.21, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

/s/ David Hill, Attorney in Fact

or Number

Title

of Shares

Expiration Date

09/07/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.